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PTO/SB/25 (06-95)
Approved for use through 07/31/96. OMB 0651-0031
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCETERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING
REJECTION OVER A PRIOR PATENTDocket Number (Optional)
5133In re Application of: Laurence J. Newell *et al.*

Application Serial No.: 09/854,153

Filed: May 11, 2001

For: Channel Gain Control for an Optical Communications System Utilizing Frequency Division Multiplexing

The owner, Kestrel Solutions, Inc.*, of the entire interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently shortened by any terminal disclaimer, of prior U.S. Patent Application Nos. 09/569,761 and 09/853,556. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321; has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submissions on behalf of an organization (e.g. corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2. ☒ The undersigned is an attorney of record.

3/30/04

Date

Repln. Ref: 04/05/2004 CCHAU1 0007361400
DAH: 192555 Name/Number: 09854153
FC: 9204 \$55.00 CR

Dorian Cartwright

Signature

Dorian Cartwright

Typed or printed name

- ☒ Terminal Disclaimer fee under 37 CFR 1.20(d) included
- ☒ Fee Transmittal included
- ☒ PTO suggested wording for terminal disclaimer was
- ☒ unchanged ☐ changed (if changed, an explanation should be supplied).

*Certificate under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee

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